

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 197(b) or 1.97(c))

Docket No.
13768.783.230

In Re Application Of:

Sriram Subramanian, et al.

| Application No. | Filing Date | Examiner | Customer No | Group Art Unit | Confirmation No. |
|-----------------|------------------|---------------|-------------|----------------|------------------|
| 10/693,630 | October 23, 2003 | Eric V. Woods | 47973 | 2628 | 1530 |

Title:

MEDIA INTEGRATION LAYER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment by credit card using the payment option in eFiler with RAM will be used to cover the payment of fees with respect to this Information Disclosure Statement.



Signature

Dated this 6th day of March, 2007.

RICK D. NYDEGGER
Registration No.: 28,651
JENS C. JENKINS
Registration No.: 44,803
Attorneys for Applicant
Customer No.: 47973

VIA eFILE

PATENT APPLICATION
Docket No.: 13768.783.230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-----------------------|----------------------------|---|----------|
| In re application of: | |) | |
| | Sriram Subramanian, et al. |) | |
| Serial No.: | 10/693,630 |) | Art Unit |
| | |) | 2628 |
| Filed: | October 23, 2003 |) | |
| | |) | |
| Confirmation No.: | 1530 |) | |
| | |) | |
| For: | MEDIA INTEGRATION LAYER |) | |
| | |) | |
| Examiner: | Eric V. Woods |) | |
| | |) | |
| Customer No.: | 47973 |) | |

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

VIA eFILE IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

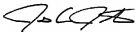
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 6th day of March, 2007.

Respectfully submitted,



RICK D. NYDEGGER
Registration No.: 28,651
JENS C. JENKINS
Attorneys for Applicant
Registration No. 44,803
Customer No. 47973

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Form PTO-1449

Sheet 1 of 9

Applicant: Sriram Subramanian, et al.
Serial No.: 10/693,630
Filing Date: October 23, 2003
Confirmation No.: 1530
For: MEDIA INTEGRATION LAYER

Attorney Docket No.: 13768.783.230
Art Unit: 2628

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

| Examiner Initial* | Patent Number | Issued Date | Name | Class | Sub Class | Filing Date |
|----------------------|------------------|----------------|-----------|-------|--------------|----------------|
| _____ A1. | 7,161,599 | 01/09/07 | Beda | 345 | 418 | 06/27/02 |
| _____ A2. | 6,919,891 | 07/19/05 | Schneider | 345 | 440 | 06/27/02 |
| _____ A3. | 7,064,766 | 06/20/06 | Beda | 345 | 557 | 06/27/02 |
| _____ A4. | 7,126,606 | 10/24/06 | Beda | 345 | 473 | 03/27/03 |
| _____ A5. | 7,088,374 | 08/08/06 | David | 345 | 420 | 03/27/03 |
| _____ A6. | 6,266,053 | 07/24/01 | French | 345 | 302 | 04/03/98 |
| _____ A7. | 6,154,215 | 11/28/00 | Hopcroft | 345 | 418 | 08/01/97 |
| _____ A8. | 5,852,449 | 12/22/98 | Esslinger | 345 | 473 | 01/27/92 |
| _____ A9. | 6,215,495 | 04/10/01 | Grantham | 345 | 419 | 05/30/97 |
| _____ A10. | 6,487,565 | 11/26/02 | Schechter | 707 | 500.100 | 12/29/98 |
| _____ A11. | 6,751,655 | 04/18/00 | Deutsch | 709 | 203 | 04/18/00 |
| _____ A12. | 6,714,201 | 03/30/04 | Grinstein | 345 | 474 | 04/14/00 |
| _____ A13. | 5,553,222 | 09/03/96 | Milne | 395 | 154 | 12/19/95 |
| _____ A14. | 6,731,314 | 05/04/04 | Cheng | 345 | 848 | 08/16/99 |
| _____ A15. | 5,555,368 | 09/10/96 | Orton | 395 | 157 | 12/30/93 |
| _____ A16. | 6,075,532 | 06/13/00 | Colleran | 345 | 340 | 03/23/98 |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449

Sheet 2 of 9

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Filing Date: October 23, 2003
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Attorney Docket No.: 13768.783.230
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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents (continued)

| <u>Examiner</u> <u>Initial*</u> | <u>Patent</u> <u>Number</u> | <u>Issued</u> <u>Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub</u> <u>Class</u> | <u>Filing</u> <u>Date</u> |
|------------------------------------|--------------------------------|------------------------------|-------------|--------------|----------------------------|------------------------------|
| _____ A17. | 5,920,325 | 07/06/99 | Morgan | 345 | 473 | 11/20/96 |
| _____ A18. | 5,500,933 | 03/19/96 | Schnorf | 395 | 154 | 12/20/94 |
| _____ A19. | 5,261,041 | 11/09/93 | Susman | 395 | 152 | 12/28/90 |
| _____ A20. | 5,986,675 | 11/16/99 | Anderson | 345 | 473 | 05/24/96 |
| _____ A21. | 7,143,339 | 11/28/06 | Weinberg | 707 | 509 | 09/20/01 |
| _____ A22. | 7,069,503 | 06/27/06 | Tanimoto | 715 | 513 | 05/30/02 |
| _____ A23. | 7,055,092 | 05/30/06 | Yardumian | 715 | 513 | 12/05/01 |
| _____ A24. | 6,919,891 | 07/19/05 | Schneider | 345 | 440 | 06/27/02 |
| _____ A25. | 6,014,139 | 01/11/00 | Watson | 345 | 339 | 04/01/99 |
| _____ A26. | 7,076,332 | 07/11/06 | Cifra | 700 | 245 | 01/18/02 |
| _____ A27. | 5,930,810 | 07/27/99 | Farros | 707 | 506 | 08/09/95 |
| _____ A28. | 6,237,092 | 05/22/01 | Fillmore | 713 | 100 | 05/05/98 |
| _____ A29. | 6,243,856 | 06/05/01 | Meyer | 717 | 001 | 02/03/98 |
| _____ A30. | 6,275,857 | 08/14/01 | McCartney | 709 | 226 | 10/30/96 |
| _____ A31. | 6,314,470 | 11/06/01 | Ward | 709 | 328 | 07/25/97 |
| _____ A32. | 6,717,599 | 04/06/04 | Olano | 345 | 853 | 06/29/00 |
| _____ A33. | 6,741,242 | 05/25/04 | Itoh | 345 | 419 | 07/17/00 |

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Form PTO-1449

Sheet 3 of 9

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Filing Date: October 23, 2003
Confirmation No.: 1530
For: MEDIA INTEGRATION LAYER

Attorney Docket No.: 13768.783.230

Art Unit: 2628

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents (continued)

| <u>Examiner</u> <u>Initial*</u> | <u>Patent</u> <u>Number</u> | <u>Issued</u> <u>Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub</u> <u>Class</u> | <u>Filing</u> <u>Date</u> |
|------------------------------------|--------------------------------|------------------------------|---------------|--------------|----------------------------|------------------------------|
| _____ A34. | 6,195,694 | 02/27/01 | Chen | 709 | 220 | 11/19/97 |
| _____ A35. | 6,243,856 | 06/05/01 | Meyer | 717 | 001 | 02/03/98 |
| _____ A36. | 6,272,650 | 08/07/01 | Meyer | 714 | 038 | 02/03/98 |
| _____ A37. | 6,732,109 | 05/04/04 | Lindberg | 707 | 101 | 01/31/01 |
| _____ A38. | 6,377,263 | 04/23/02 | Falacara | 345 | 473 | 08/04/97 |
| _____ A39. | 6,986,101 | 01/10/06 | Cooper | 707 | 513 | 05/06/99 |
| _____ A40. | 7,103,873 | 09/05/06 | Tanner | 717 | 109 | 02/09/01 |
| _____ A41. | 6,411,297 | 06/25/02 | Tampieri | 345 | 426 | 03/03/99 |
| _____ A42. | 6,765,571 | 07/20/04 | Sowizral | 345 | 420 | 01/11/01 |
| _____ A43. | 6,092,107 | 07/18/00 | Eleftheriadis | 709 | 217 | 04/07/98 |
| _____ A44. | 6,675,230 | 01/06/04 | Lewallen | 709 | 328 | 08/22/00 |
| _____ A45. | 5,986,667 | 11/16/99 | Jevans | 345 | 433 | 12/22/94 |
| _____ A46. | 5,487,172 | 01/23/96 | Hyatt | 395 | 800 | 09/20/91 |
| _____ A47. | 6,259,451 | 07/10/01 | Tesler | 345 | 419 | 04/25/97 |
| _____ A48. | 6,538,656 | 03/25/03 | Cheung | 345 | 519 | 08/18/00 |
| _____ A49. | 7,012,606 | 03/14/06 | Swedberg | 345 | 420 | 10/23/03 |
| _____ A50. | 6,707,456 | 03/16/04 | Marrin | 345 | 473 | 08/03/00 |

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Form PTO-1449

Sheet 4 of 9

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Confirmation No.: 1530
For: MEDIA INTEGRATION LAYER

Attorney Docket No.: 13768.783.230
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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Publication Documents

| <u>Examiner</u> <u>Initial*</u> | <u>Publication</u> <u>Number</u> | <u>Pub.</u> <u>Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub</u> <u>Class</u> | <u>Filing</u> <u>Date</u> |
|------------------------------------|-------------------------------------|----------------------------|-------------|--------------|----------------------------|------------------------------|
| _____A51. | 2002/0063704 | 05/30/02 | Sowizral | 345 | 522 | 01/11/01 |
| _____A52. | 2004/0216139 | 10/28/04 | Rhoda | 709 | 320 | 08/21/02 |
| _____A53. | 2004/0220956 | 11/04/04 | Dillon | 707 | 102 | 04/30/04 |
| _____A54. | 2001/0000962 | 05/10/01 | Rajan | 375 | 240.010 | 12/12/00 |
| _____A55. | 2002/0032697 | 03/14/02 | French | 345 | 440 | 06/06/01 |
| _____A56. | 2002/0046394 | 04/18/02 | Do | 717 | 100 | 12/06/00 |
| _____A57. | 2003/0028901 | 02/06/03 | Shae | 370 | 487 | 06/14/01 |
| _____A58. | 2003/0120823 | 06/26/03 | Kim | 709 | 310 | 04/29/02 |
| _____A59. | 2004/0110490 | 06/10/04 | Steele | 455 | 412.100 | 09/19/2003 |
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| _____A61. | 2004/0015740 | 01/22/04 | Dantelle | 710 | 005 | 07/11/03 |
| _____A62. | 2004/0039496 | 02/26/04 | Dantelle | 345 | 619 | 07/11/03 |
| _____A63. | 2004/0093604 | 05/13/04 | Demsey | 709 | 001 | 11/13/02 |
| _____A64. | 2003/0110297 | 06/12/03 | Tabatabai | 709 | 246 | 10/29/02 |
| _____A65. | 2005/0050471 | 03/03/05 | Hallisey | 345 | 853 | 08/26/03 |

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VIA eFILE
Form PTO-1449

Sheet 5 of 9

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Filing Date: October 23, 2003
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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Application Documents

| <u>Examiner Initial*</u> | <u>Application Number</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
|------------------------------|-------------------------------|-------------|--------------|----------------------|------------------------|
| _____ A66. | 11/555,040 | Beda | 345 | 506 | 10/31/06 |
| _____ A67. | 11/165,756 | Schneider | 345 | 440 | 06/23/05 |
| _____ A68. | 11/455,166 | Beda | 345 | 506 | 06/16/06 |
| _____ A69. | 11/454,719 | Beda | 345 | 506 | 06/16/06 |
| _____ A70. | 10/693,822 | Blanco | 345 | 473 | 10/23/03 |
| _____ A71. | 10/401,717 | Beda | 345 | 473 | 03/27/03 |
| _____ A72. | 10/693,673 | Beda | 345 | 619 | 10/23/03 |
| _____ A73. | 10/693,633 | Beda | 345 | 619 | 10/23/03 |
| _____ A74. | 10/693,630 | Subramanian | 345 | 619 | 10/23/03 |
| _____ A75. | 11/499,257 | David | 345 | 420 | 08/04/06 |

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VIA eFILE
Form PTO-1449

Sheet 6 of 9

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Confirmation No.: 1530
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Attorney Docket No.: 13768.783.230
Art Unit: 2628

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Foreign Patent Documents

| Examiner <u>Initial*</u> | Reference <u>Number</u> | Pub. <u>Date</u> | Country or <u>Patent Office</u> | International <u>Classification</u> | Trans- <u>lation</u> |
|-----------------------------|---|---------------------|------------------------------------|--|-------------------------|
| _____ | A76. WO99/00725A | 01/07/99 | WIPO | | |
| _____ | A77. WO99/52080A | 10/14/99 | WIPO | | |
| _____ | A78. PCT Int'l Search Report & Written Opinion on App. No. PCT/US04/25723 | | | | |
| _____ | A79. Partial European Search Report in EP 02023604 documents considered relevant. | | | | |
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Non-Patent Literature Documents

Examiner
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- _____ A81. Hyun Suk Kim et al: "Scene Graph for Dynamic Virtual Environment: Spangraph"
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4, no. 2, 2000, pages 12-18, OP001039706 ISSN: 1081-1451 *page 16, column 2*.
- _____ A82. Hudson, S.E. and Stasko, J.T., Animation Support in a User Interface Toolkit:
Flexible, Robust, and Reusable Abstractions, ACM SIGGRAPH Symposium on
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- _____ A83. X3D specification (one section from Google cache), sections 6 and 18 –
[http://www.web3d.org/x3dspecifications/ISO-IEC-19775-IS-
X3DAbstractSpecification/...](http://www.web3d.org/x3dspecifications/ISO-IEC-19775-IS-X3DAbstractSpecification/...)

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VIA eFILE
Form PTO-1449

Sheet 7 of 9

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Attorney Docket No.: 13768.783.230
Art Unit: 2628

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Non-Patent Literature Documents (continued)

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- _____ A84. Walczak, K. and W. Cellary. "Building Database Applications of Virtual Reality with X-VRML". Proc. 7th. Int. Conf. on 3D Web Technology. 2002, SIGGRAPH. Pages 11-120. ISBN 1-58113-468-1.
- _____ A85. Parr, T. And T. Rohaly. "A language for creating and manipulating VRML." Proc. 1st Symposium on Virtual Reality Modeling Lang. 1995, pages 123-131.
- _____ A86. Hesina, G.; Schmalistieg, D.; Furhmann, A.; Purgathofer, W. "Distributed Open Inventor: a practical approach to distributed 3D graphics." Proc. ACM Symp. On Virt. Reality Soft. And Tech. 1999, pages 74-81.
- _____ A87. Strauss, P. and R. Carey. "An Object-Oriented 3D Graphics Toolkit" SIGGRAPH (Proc. 19th Ann. Conf. on Comp. Graph. And Int. Tech.) 1992, pages 341-349.
- _____ A88. RIKK CARY, GAVIN BELL, CHRIS MARRIN: "International Standard iso/iec 14772-1: 1997 Virtual Reality Modeling Language (vrml97)" VRML 97, 1997 pages 1-236 XP002133320 page 7, paragraph 3.18: pages 89-99 section 6.20; page 149, paragraph B.2.
- _____ A89. SVG specification version 1.1, January 14, 2003 all sections.
<http://www.w3.org/TR/SVG>.
- _____ A90. Java 3D API Specification: Scene Graph Basics. Sun Microsystems, Inc. 1999.
<http://java.sun.com/products/java-media/3D/forDevelopers/j3dguide/SceneGraphOverview.doc.html>

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VIA eFILE
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Sheet 8 of 9

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Non-Patent Literature Documents (continued)

Examiner
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- _____ A91. SVG Tools, Adobe Illustrator, July 6, 2001 –
<http://web.archive.org/web/20010706131221/http://www.adobe.com/svg/tools/other.html>
- _____ A92. Scalable Vector Graphics, Adobe SVG Viewer download area, November 2001 –
<http://www.adobe.com/svg/viewer/install/main.html>
- _____ A93. W3C Scalable Vector Graphics (SVG)-History-
<http://www.w3.org/Graphics/SVG/History>
- _____ A94. W3C consortium/ "XML Base", W3c recommendation 27 June 2001.

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Sheet 9 of 9

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

AHY000002762V001

Examiner:

Date Considered:

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